

**POLITICALLY EXPOSED PERSON (PEP) /
HEAD OF INTERNATIONAL ORGANIZATION (HIO) FORM**

700 - 1111 West Georgia Street
Vancouver, BC, Canada V6E 4T6
604.605.4199 Toll Free 1.877.787.2330

7. PEP AND HIO DEFINITIONS

The definition of PEP and HIO also includes the following family members:

- a PEP or HIO's mother or father;
- a PEP or HIO's child;
- PEP or HIO's spouse or common-law partner;
- the mother or father of a PEP or HIO's spouse or common-law partner; and
- PEP or HIO's brother, sister, half-brother or half-sister.

A foreign PEP means a person who holds or has held one of the following offices or positions in or on behalf of a foreign state:

- head of state or head of government;
- member of the executive council of government or member of a legislature;
- deputy minister or equivalent rank;
- ambassador or attaché or counselor of an ambassador;
- military officer with rank of general or above;
- president of a state-owned company or a state-owned bank;
- head of a government agency;
- judge of supreme court, constitutional court or other court of last resort; or
- leader or president of a political party represented in a legislature

A HIO means a person who holds, or has held within the last 5 years, the following offices or positions:

- the head of an international organization* established by the governments of states; or;
- the head of an institution established by an international organization*

* an international organization is an organization set up by the governments of more than one country

The close associate of a PEP or HIO includes those:

- in a business partnership with, or beneficially owns or controls a business with a PEP or HIO;
- involved in financial transactions with a PEP or a HIO;
- in a romantic relationship with a PEP or HIO;
- who are a prominent member of the same political party or union as a PEP or HIO;
- serving as a member of the same board as a PEP or HIO; or
- closely carrying out charitable works with a PEP or HIO.

A domestic PEP means a person who holds, or has held within the last 5 years, the following offices or positions on behalf of the Canadian federal government, a Canadian provincial government, or a Canadian municipal government:

- Governor General, lieutenant governor or head of government;
- member of the Senate or House of Commons or member of a legislature;
- deputy minister or equivalent rank;
- ambassador or attaché or counselor of an ambassador;
- military officer with rank of general or above;
- president of a corporation that is wholly owned directly by Her Majesty in right of Canada or a province;
- head of a government agency;
- judge of an appellate court in a province, the Federal Court of Appeal or the Supreme Court of Canada;
- leader or president of a political party represented in a legislature; or
- mayor or reeve of a city, town, village, or rural or metropolitan municipality.

8. INTERNAL USE ONLY

Date of Determination (dd/mm/yyyy)

Coding:

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|--|----------------------------------|---------------------------------|--|---|
| <input type="radio"/> PEPF: PE | <input type="radio"/> PEDP: PD | <input type="radio"/> HIO: HO | <input type="radio"/> Close Associate PEDP: CD | <input type="radio"/> Close Associate HIO: CI |
| <input type="radio"/> Close Associate PEPF: CF | <input type="radio"/> PEDP H: PH | <input type="radio"/> HIO H: HH | <input type="radio"/> Close Associate PEDP H: CH | <input type="radio"/> Close Associate HIO H: CA |

Notes:

Senior Manager Name

x
Senior Manager Signature

Date (dd/mm/yyyy)